#### APPENDIX C

## INSTRUCTIONS GOVERNING USE OF CODE WORDS , NICKNAMES, AND EXERCISE TERMS

(See subsection 7-209)

#### 1. Definitions

- a. <u>Using Component</u>. The DoD Component to which a code word is allocated for use, and which assigns to the word a classified meaning, or which originates nicknames and exercise terms using the procedure established by the Joint Chiefs of Staff.
- b. <u>Code Word</u>. Word selected from those listed in Joint Army-Navy- Air Force Publication (JANAP) 299 (reference (ff)) and later volumes, and assigned a classified meaning by appropriate authority to insure proper security concerning intentions, and to safeguard information pertaining to actual military plans or operations classified as Confidential"or higher. A code word shall not be assigned to test, drill or exercise activities. A code word is placed in one of three categories:
- (1) <u>Available</u>. Allocated to the using component. Available code words <u>individually</u> will be unclassified until placed in the active category.
  - (2) Active. Assigned a classified meaning and current.
- (3) <u>Canceled</u>. Formerly active, but discontinued due to compromise, suspected compromise, cessation, or completion of the operation to which the code word pertained. Canceled code words <u>individually</u> will be unclassified and remain so until returned to the active category.
- c. Nickname. A combination of two separate unclassified words which is assigned an unclassified meaning and is employed only for unclassified administrative, morale, or public information purposes.
- d. <u>Exercise Term</u>. A combination of two words, normally unclassified, used exclusively to designate a test, drill, or exercise. An exercise term is employed to preclude the possibility of confusing exercise directions with actual operations directives.

#### 2. Policy and Procedure

a. <u>Code Words</u>. The Joint Chiefs of Staff are responsible for allocating words or blocks of code words from JANAP 299 to DoD Components. DoD Components may request allocation of such code words as required and may reallocate available code words within their organizations, in accordance with individual policies and procedure, subject to applicable rules set forth herein.

- (1) A permanent record of all code words shall be maintained by the Joint Chiefs of Staff.
- (2) The using Component shall account for available code words and maintain a record of each active code word. Upon being canceled, the using component shall mmaintain the record for 2 years; thence the record of each code word may be disposed of in accordance with current practices, and the code word returned to the available inventory.

#### b. Nicknames

- (1) Nicknames may be assigned to actual events, projects, movement of forces, or other nonexercise activities involving elements of information of any classification category, but the nickname, the description or meaning it represents, and the relationship of the nickname and its meaning must be unclassified. A nickname is not designed to achieve a security objective.
- (2) Nicknames, **improperly** selected, **can** be counterproductive. A nickname must be chosen with sufficient care to ensure that it does not:
- (a) Express a degree of bellicosity inconsistent with traditional American ideals or current foreign policy;
- (b) Convey **connotations** offensive to good taste or derogatory to a particular group, sect, or creed; or,
- (c) Convey connotations offensive to our allies or other Free World nations.
  - (3) The following shall not be used as nicknames:
- (a) Any two-word combination voice call sign found in JANAP 119 (reference (ff)) or ACP 110 (reference (aaa)). (However, single words in JANAP 119 or ACP 110  $\square$  ay be used as part of a nickname if the first word of the nickname does not appear in JANAP 299 (reference (ff)) and later volumes.)
- (b) Combination of words including word "project," "exercise," or "operation ."
- (c) Words that may be used correctly either as a single word or as two words, such as "moonlight."
- (d) Exotic words, trite expressions, or well-known commercial trademarks.
  - (4) The Joint Chiefs of Staff shall:
- (a) Establish a procedure by which nicknames  $\square$  ay be authorized for use by DoD Components.

- (b) Prescribe a method for the using Components to report nicknames used.
  - (5) The heads of DoD Components shall:
- (a) Establish controls within their Components for the assignment of nicknames authorized under subparagraph 2.b. (4)(a), above.
- (b) Under the procedures established, advise the Joint Chiefs of Staff of nicknames as **they** are assigned.

#### c. Exercise Term

- (1) Exercise terms may be assigned only to tests, drills, or exercises for the purpose of emphasizing that the event is a test, drill, or exercise and not an actual operation. The exercise term, the description or meaning it represents, and the relationship of the exercise term and its meaning can be classified or unclassified. A **classified** exercise term is designed to simulate actual use of DoD code words and must be employed using identical security procedures throughout the planning, preparation, and execution of the test, drill, or exercise to ensure realism.
- (2) Selection of exercise terms will follow the same guidance as contained in subparagraphs 2.b.(2) and (3), above.
  - (3) The Joint Chiefs of Staff shall:
- (a) Establish a procedure by which exercise terms may be authorized for use by DoD Components.
- (b) Prescribe a method for using Components to report exercise terms used.
  - (4) The heads of DoD Components shall:
- (a) Establish controls within their Component for the assignment of exercise terms authorized under subparagraph 2.c. (3), above.
- (b) Under the procedures established, advise the Joint Chiefs of Staff of exercise terms as they are assigned.

#### 3. Assignment of Classified Meanings to Code Words

- a. The DoD Component responsible for the development of a plan or the execution of an operation shall be responsible for determining whether to assign a code word.
  - b. Code words shall be activated for the following purposes only:

- (1) To designate a classified  $\square$  ilitary plan or operation;
- (2) To designate classified geographic locations in conjunction with plans or operations referred to in subparagraph 3.b. (1), above; or,
- (3) To conceal intentions in discussions and messages or other documents pertaining to plans, operations, or geographic locations referred to in subparagraphs 3.b.(1) and (2), above.
- c. The using Component shall assign to a code word a specific meaning classified Top Secret, Secret, or Confidential, commensurate with military security requirements. Code words shall not be used to cover unclassified meanings. The assigned meaning need not in all cases be classified as high as the classification assigned to the plan or operation as a whole.
- d. Code words shall be selected by each using Component in such manner that the word used does not suggest the nature of its meaning.
- e. A code word shall not be used repeatedly for similar purposes; that is, if the initial phase of an operation is designated "Meaning," succeeding phases should not be designated "Meaning II" and "Meaning III," but should have different code words.
- f. Each DoD Component shall establish policies and procedures for the control and assignment of classified meanings to code words, subject to applicable rules set forth herein.

# 4. Notice of Assignment, Dissemination, and Cancellation of Code Words and Meanings

- a. The using Component shall promptly notify the Joint Chiefs of Staff when a code word is made active, indicating the word, and its classification. Similar notice shall be made when any changes occur, such as the substitution of a new word for one previously placed in use.
- b. The using Component is responsible for further dissemination of active code words and meanings to all concerned activities, to include classification of each.
- c. The using Component is responsible for notifying the Joint Chiefs of Staff of canceled code words. This cancellation report is considered final action, and no further reporting or accounting of the status of the canceled code word will be required.

#### 5. Classification and Downgrading Instructions

a. During the development of a plan, or the **planning** of an operation by the headquarters of the using Component, the code word and its meaning shall have the same classification. When dissemination of the plan to other DoD Components or to subordinate echelons of the using Component is required, the using Component may downgrade the code words assigned below the classification assigned to their meanings in order to facilitate additional **planning** implementation, and execution by such other Components or echelons, but code words shall, at a minimum, be classified Confidential.

- b. A code word which is replaced by another code word due to a compromise or suspected compromise, or for any other reason, shall be canceled, and classified Confidential for a period of 2 years, after which **the** code word will become unclassified.
- ${f c.}$  When a plan or operation is discontinued or completed, and is not replaced by a similar plan or operation but the  ${f \Box}$  eaning cannot be declassified, the code word assigned thereto shall be canceled and classified Confidential for a period of 2 years, or until the meaning is declassified, whichever is sooner, after which the code word will become unclassified.
- d. In every case, whenever a code word is referred to in documents, the security classification of the code word shall be placed in parentheses immediately following the code word, for example, "Label (C)."
- e. When the meaning of a code word no longer requires a classification, the using Component shall declassify the meaning and the code word and return the code word to the available inventory.

### 6. Security Practices

- a. The meaning of a code word may be used in a message or other document, together with the code word, only when it is essential to do so. Active code words may be used in correspondence or other documents forwarded to addressees who may or may not have knowledge of the meaning. If the context of a document contains detailed instructions or similar information which indicates the purpose or nature of the related meaning, the active code word shall not be used.
- b. In handling correspondence pertaining to active code words, care shall be used to avoid bringing the code words and their meanings together. They should be handled in separate card files, catalogs, indexes, or lists, enveloped separately, and dispatched at different times so they do not travel through mail or courier channels together.
- ${f c.}$  Code words shall not be used for addresses, return addresses, shipping designators, file indicators, call signs, identification signals, or for other similar purposes.
- 7. All code words formerly categorized as "inactive" or "obsolete" shall be placed in the current canceled category and classified Confidential. Unless otherwise restricted, all code words formerly categorized as "canceled" or "available?' shall be individually declassified. All records associated with such code words may be disposed of in accordance with current practices, provided such records have been retained at least 2 years after the code words were placed in the former categories of "inactive," "obsolete," or "canceled."